



Title of Policy/Procedure: **DEALING WITH SAFEGUARDING REPORTS**

Ratified by MC (Chair Signature):

Date of ratification by MC:

Review Date:

PURPOSE AND SCOPE

The purpose of this document is to provide procedures for dealing with reports of breach of CADA NI Safeguarding Policy, where the safeguarding violation is:

- Against CADA NI members, volunteers or members of the public,
- Perpetrated by CADA NI associated personnel

PROCEDURES

1. Report is received

1.1 Reports can reach the organisation through various routes. This may be in a structured format such as a letter, e-mail, text or message on social media which will be securely stored. It may also be in the form of informal discussion or rumour. If a CADA NI member or volunteer hears something in an informal discussion or chat that they think is a safeguarding concern, they should report this to a member of the CADA NI Management Committee.

1.2 If a safeguarding concern is disclosed directly to a CADA NI member or volunteer, the person receiving the report should bear the following in mind:

- Listen
- Empathise with the person
- Ask who, when, where, what but not why
- Repeat/ check your understanding of the situation
- Report to a member of the CADA NI Management Committee (see 1.4 below)

1.3 The person receiving the report should then document the following information (where known):

- Name of person making report
- Name(s) of alleged survivor(s) of safeguarding incident(s) if different from above
- Name(s) of alleged perpetrator(s)
- Description of incident(s)

- Dates(s), times(s) and location(s) of incident

1.4 The person receiving the report should then forward this information to a member of the CADA NI Management Committee within 24 hours.

1.5 Due to the sensitive nature of safeguarding concerns, confidentiality must be maintained during all stages of the reporting process, and information shared on a limited 'need to know' basis only.

1.6 If the reporting member/volunteer is not satisfied that the organisation is appropriately addressing the report, they have a right to escalate the report to an external statutory body. The member/volunteer will be protected against any negative repercussions as a result of this report.

2. Assess how to proceed with the report

2.1 Appoint a Decision Maker for handling this report (see 3.1 below).

2.2 Determine whether it is possible to take this report forward

- Does the reported incident(s) represent a breach of safeguarding policy?
- Is there sufficient information to follow up this report?

2.3 If the reported incident does not represent a breach of CADA NI Safeguarding Policy but represents a safeguarding risk to others (such as a child safeguarding incident), the report should be referred through the appropriate channels (e.g. local authorities) if it is safe to do so.

2.4 If there is insufficient information to follow up the report, and no way to ascertain this information (for example if the person making the report did not leave contact details), a report should be filed and appropriate action taken, in case it can be of use in the future and look at any wider lesson learning we can take forward.

2.5 If the report raises any concerns relating to children under the age of 18, seek expert advice immediately. If at any point in the process of responding to the report (for example during an investigation) it becomes apparent that anyone involved is a child under the age of 18, the Decision Maker should be immediately informed and should seek expert advice before proceeding.

2.6 If the decision is made to take the report forward, the Decision Maker should ensure that there is relevant expertise and capacity to manage a safeguarding case. If this expertise is not available in-house, immediate assistance should be sought, through external capacity if necessary.

2.7 Clarify what, how and with whom information relating to the case will be shared.

Confidentiality should be maintained at all times, and information shared on a need-to-know basis

only. Decide which information needs to be shared with which stakeholder – information needs may be different.

2.8 Check your obligations on informing relevant bodies when you receive a safeguarding report.

These include (but are not limited to):

- Funding organisations
- Umbrella bodies/networks
- Statutory bodies (such as the Charity Commission in the UK and/or Northern Ireland)

Some of these may require you to inform them when you receive a report, others may require information on completion of the case, or annual top-line information on cases. When submitting information to any of these bodies, think through the confidentiality implications very carefully.

3. Appoint roles and responsibilities for case management

3.1 If not already done so (see 2.1 above), appoint a Decision Maker for the case. The Decision Maker should be a member of the CADA NI Management Committee, not implicated or involved in the case in any way.

3.2 If the report alleges a serious safeguarding violation, you may wish to hold a case conference.

This should include:

- Decision Maker
- Person who received the report (such as the focal point, or manager)
- Independent HR professional
- Safeguarding adviser (or equivalent) if there is one

The case conference should decide the next steps to take, including any protection concerns and support needs for the survivor and other stakeholders (see below).

4. Provide support to survivor where needed/requested

4.1 Provide appropriate support to survivor(s) of safeguarding incidents. N.B. this should be provided as a duty of care even if the report has not yet been investigated. Support could include (but is not limited to):

- Psychosocial care or counselling
- Medical assistance
- Protection or security assistance (for example being moved to a safe location)

4.2 All decision making on support should be led by the survivor.

5. Assess any protection or security risks to stakeholders

5.1 For reports relating to serious incidents: undertake an immediate risk assessment to determine whether there are any current or potential risks to any stakeholders involved in the case and develop a mitigation plan if required.

5.2 Continue to update the risk assessment and plan on a regular basis throughout and after the case as required.

6. Decide on next steps

6.1 The Decision Maker decides the next steps. These could be (but are not limited to):

- No further action (for example if there is insufficient information to follow up, or the report refers to incidents outside the organisation's remit)
- Investigation is required to gather further information
- Immediate disciplinary action if no further information needed
- Referral to relevant authorities

6.2 If the report concerns associated personnel (for example contractors, consultants or suppliers), the decision making process will be different. Although associated personnel are not members or volunteers, we have a duty of care to protect anyone who comes into contact with any aspect of our programme from harm. Decisions may be made to terminate a contract with a supplier based on the actions of their staff.

6.3 If an investigation is required and the organisation does not have internal capacity, identify resources to conduct the investigation. Determine which budget this will be covered by but in no way should budgetary considerations impact on the conduct of an appropriate investigation.

7. Manage investigation if required

7.1 Refer to the organisation's procedures for investigating breaches of policy. If these do not cover safeguarding investigations, use external guidelines for investigating safeguarding reports, such as the CHS Alliance Guidelines for Investigations.

8. Make decision on outcome of investigation report

8.1 The Decision Maker makes a decision based on the information provided in the investigation report. Decisions relating to the Subject of Concern should be made in accordance with existing policies and procedures.

8.2 If at this or any stage in the process criminal activity is suspected, the case should be referred to the relevant authorities unless this may pose a risk to anyone involved in the case. In this case, the Decision Maker together with another CADA NI Management Committee Member will need to

decide how to proceed. This decision should be made bearing in mind a risk assessment of potential protection risks to all concerned, including the survivor and the Subject of Concern.

9. Conclude the case

9.1 Document all decisions made resulting from the case clearly and confidentially.

9.2 Store all information relating to the case confidentially and securely, and in accordance with CADA NI policy and data protection law.

9.3 Record anonymised data relating to the case to feed into CADA NI reporting requirements (e.g. serious incident reporting to Trustee/Management Committee,), and to feed into learning for dealing with future cases.